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#3.0300

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji NISHI

Application No.: 09/782,062

Attn: Applications Branch

Filed: February 14, 2001

Docket No.: 108601

For: EXPOSURE METHOD, EXPOSURE APPARATUS, AND METHOD FOR  
PRODUCING DEVICE

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**DECLARATION ALREADY FILED**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, filing date granted, mailed May 4, 2001 (copy attached), the executed Declaration of the inventor and payment of the surcharge were filed on April 23, 2001. A copy of the executed Declaration as filed, a copy of the Transmittal Letter and a copy of the stamped postcard receipt are attached hereto.

Entry of these documents on April 23, 2001 should have completed all of the filing formalities. Accordingly, prompt issuance of a Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075

Thomas J. Pardini  
Registration No. 30,411

JAO:TJP/kaf

Date: May 18, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,062	02/14/2001	Kenji Nishi	108601

O I P E

MAY 18 2001

PATENT & TRADEMARK OFFICE

OLIFF & BERRIDGE, PLC  
 P.O. Box 19928  
 Alexandria, VA 22320

**CONFIRMATION NO. 1857**

**FORMALITIES LETTER**



\*OC000000006040092\*

Date Mailed: 05/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

*A copy of this notice **MUST** be returned with the reply.*

*[Handwritten signature]*  
 Customer Service Center

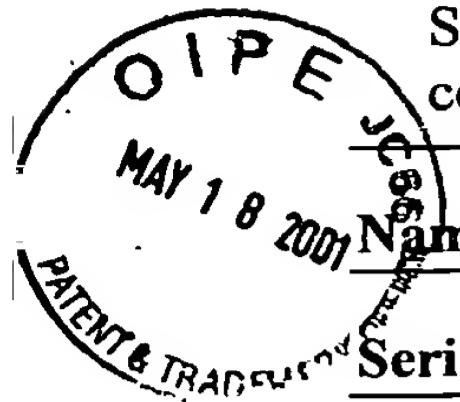
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

Sub of Dec; ck 118316 (\$130); Dec; Assn Trans; ck 118315 (\$40); Assn; CFP w/cert  
copies of JP 2000-041435 (2/15/00) and JP 2001-027940 (2/5/01)



**Name of Applicant:** Kenji NISHI

**Serial No.:** 09/782,062

**Atty. File No.:** 108601

**Title (New Cases):**

**Sender's Initials:** JAO:TJP/jag



365/3

**PATENT OFFICE DATE STAMP**

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

JG

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Attn: Box Missing Parts

Kenji NISHI

Application No.: 09/782,062

Filed: February 14, 2001

Docket No.: 108601

For: EXPOSURE METHOD, EXPOSURE APPARATUS, AND METHOD FOR PRODUCING DEVICE

**SUBMISSION OF DECLARATION**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Submitted herewith is the original signed Declaration of the Inventor. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Attached is our Check No. 118316 for  \$130.00  \$65.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075

Thomas J. Pardini  
Registration No. 30,411

JAO:TJP/jag

Date: April 23, 2001

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Alexandria, Virginia 22320  
Telephone: (703) 836-6400

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**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of  
Kenji NISHI

Application No.: 09/782,062

Docket No.: 108601

Filed: February 14, 2001

For: EXPOSURE METHOD, EXPOSURE APPARATUS, AND METHOD FOR  
PRODUCING DEVICE

**REQUEST FOR CORRECTION OF PALM RECORDS**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Thomas J. Pardini  
Registration No. 30,411

Date: May 18, 2001

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KAF  
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 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
O I 09/782,062	02/14/2001	2877	2030	108601	29	55	10

O I  
 MAY 18 2001  
 JCCS  
 PATENT & TRADEMARK OFFICE

OLIFF & BERRIDGE, PLC  
 P.O. Box 19928  
 Alexandria, VA 22320



CONFIRMATION NO. 1857

FILING RECEIPT



\*OC00000006040091\*

Date Mailed: 05/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Kenji Nishi, Residence Not Provided, Yokohama-shi, Japan

**Domestic Priority data as claimed by applicant**

← Assignment for Published Patent Application  
 Nikon Corporation

**Foreign Applications**

JAPAN 2000-041435 02/15/2000

JAPAN 2001-027940 02/05/2001

If Required, Foreign Filing License Granted 05/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Exposure method, exposure apparatus, and method for producing device

**Preliminary Class**

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